2018-June-28: Minutes NRO EC F2F Meeting Panama

Date: Thursday, 28 June 2018, 15:00 UTC

Attendees

Executive Council:

Paul Wilson (PW)	APNIC	Chair
Alan Barrett (AB) - *Remote*	AFRINIC	Secretary – Vice Chair
Axel Pawlik (AP)	RIPE NCC	Treasurer
John Curran (JC)	ARIN	
Oscar Robles (OR)	LACNIC	

Observers:

Christian Bope (CB) - *Remote*	AFRINC Board
Pablo Hinojosa (PH)	APNIC
Paul Andersen (PA) - *Remote*	ARIN Board
Nate Davis (ND) - *Remote*	ARIN
Richard Jimmerson (RJ) - *Remote*	ARIN
Hartmut Glaser (HM)	LACNIC Observer
Ernesto Majó (EM) - *Remote*	LACNIC
Raúl Echeberría (RE)	Internet Society

Secretariat:

German Valdez (GV)	NRO
Susannah Gray (SG)	NRO (Scribe)

Agenda

1. Welcome

2. IGF Reform presented by Raúl Echeberría

3. Agenda Review

4. Preparation Meeting with Göran Marby

5. ICANN

    a) ICANN Auction Proceeds and Reserve Fund Replenishment

    b) Status of ICANN's IPv6 Initiative

    c) CCWG Final Report

6. ASO Review Status Update

    • Review of ASO Review Report Recommendation Implementation Status

7. Framework for Personal Data in the Internet Numbers Registry System

8. Emergency Backup operations for RIR

9. NTIA Notice of Inquiry

10. Technical Community appointments to the MAG

11. CG Reports / Consultations

    a) CCG

    b) ECG

    c) RSCG

12. Review Open Actions

13. Minutes Review

    a) April 2018

    b) May 2018

14. Next Meetings

    a) Teleconference Tuesday 17 July

b) Teleconference Tuesday 21 August

c) Teleconference Tuesday 18 September

d) F2f Meeting Friday 19 & Saturday 20 October 2018 Amsterdam (Right before ICANN 63)

15. AOB

16. Adjourn

Resolutions

No resolutions were passed during this meeting.

New Action Items

The following action Items were assigned during this meeting:

NEW ACTION ITEM 20180628-2: PW to send a note to the CCWG-Accountability representatives thanking them for their work.
NEW ACTION ITEM 20180628-3: GV to send the CCWG-Accountability report to the ASO AC.
NEW ACTION ITEM 20180628-4: JC to draft a procedure for periodic reviews of the ASO [ASO Review Rec #3].
NEW ACTION ITEM 20180628-5: JC to confirm that the ASO AC is working on Recommendation #7 [ASO Review Rec #7].
NEW ACTION ITEM 20180628-6: PW to refer Recommendation #8, increasing the use of infographics on the NRO website, to the CCG [ASO Review Rec #8].
NEW ACTION ITEM 20180628-7: GV/SG: Create pages on ASO and NRO websites with clear instructions on who to contact for what activity/task [ASO Review Rec #12].
NEW ACTION ITEM 20180628-8: PW to refer Recommendation #17, updating the ASO AC website with recent presentations, contact details and an archive of the activities of both the ASO AC and NRO EC, to the CCG [ASO Review Rec #17].
NEW ACTION ITEM 20180628-9: JC to revise the ASO Review Report Recommendation Implementation Status document and update accordingly [ASO Review].
NEW ACTION ITEM 20180628-10: All to review and comment on the draft Framework for Personal Data in the Internet Numbers Registry System
NEW ACTION ITEM 20180628-11: All to reiterate the commitment to the Emergency Backup Operations for RIRs project to their respective engineering teams.
NEW ACTION ITEM 20180628-12: PW to draft formal request to the MAG to look into the issue of Technical Community appointments to the IGF MAG.

NEW ACTION ITEM 20180628-13: PW to ask RSCG to provide specific objectives for the proposed RSCG F2F meeting.
NEW ACTION ITEM 20180628-14: PW to ask the CCG to come up with terminology/definitions and guidelines on how to refer to/use the terms Registry and Database.
NEW ACTION ITEM 20180628-15: GV to send a Doodle Poll to find a time for an NRO EC meeting during ICANN 63.

1. Welcome

PW welcomed the attendees and declared quorum.

2. IGF Reform presented by Raúl Echeberría

RE gave an overview of the IGF reforms and the Internet Society's (ISOC) involvement in the IGF. After a short Q&A session, RE left the meeting.

3. Agenda Review

No additional items were added to the agenda.

4. Preparation Meeting with Göran Marby

The NRO EC discussed the agenda for the upcoming meeting with ICANN CEO Göran Marby.

5. ICANN

a) ICANN Auction Proceeds and Reserve Fund Replenishment

The NRO EC discussed the ICANN Auction Proceeds and Reserve Fund Replenishment.

b) Status of ICANN's IPv6 Initiative

PW noted that there has been no update from ICANN on its ICANN IPv6 Initiative.

JC noted that the RIR communities are well served by ICANN asking registries and registrars to deploy IPv6 as this is in line with the RIRs' goal to increase deployment. He noted that the NRO EC had asked ICANN to promote IPv6 deployment. The issue is that ICANN does not seem to be reporting on this. He added that it would be good to get an update on this project.

c) CCWG Final Report

PW explained that the NRO EC had received an email from the NRO/ASO representatives on the CCWG-Accountability summarizing the next steps and what needs to be done. He proposed that the NRO EC send a formal note thanking the CCWG-Accountability representatives for their support and hard work.

The NRO EC agreed and noted their appreciation.

GV added that the ASO AC has also been following the work of the CCWG-Accountability and suggested that the report should be shared with it. There were no objections.

NEW ACTION ITEM 20180628-2: PW to send a note to the CCWG-Accountability representatives thanking them for their work.

NEW ACTION ITEM 20180628-3: GV to send the CCWG-Accountability report to the ASO AC.

6. ASO Review Status Update

The NRO EC reviewed the ASO Review Report Recommendation Implementation Status overview. JC explained that the NRO EC had previously discussed which recommendations could be implemented immediately and which would need to be deferred for practical reasons. If the change involved coordinating a document or change with ICANN, the NRO EC had suggested it be deferred as, depending on the outcome of the community consultations on the future structure of the ASO, the documents might need to be updated again to reflect that outcome. He gave an overview of the current status:

Recommendation # 1: ICANN should consider updating its Bylaws to reflect the fact that the NRO will, like the GAC, and according to the ASO MoU, provide its own review mechanism for the review of ASO. *DEFERRED* -This recommendation is a task that must be done jointly with ICANN and therefore should be taken up after the ASO Future Structure community consultation has been completed.
Recommendation # 2: The NRO should consider updating the ASO MoU to reflect the fact that the appropriate section of the New ICANN Bylaws regarding Organizational Reviews is [Section 4.4](#) (previously Article IV, Section 4). *DEFERRED* -This recommendation is a task that must be done jointly with ICANN and therefore should be taken up after the ASO Future Structure community consultation has been completed.
Recommendation # 3: The NRO should adopt a procedure for conducting periodic reviews of the ASO in line with processes used by the ICANN Organizational Effectiveness Committee. *IN PROGRESS.* **NEW ACTION ITEM 20180628-4: JC to draft a procedure for periodic reviews of the ASO [ASO Review Rec #3].**

Recommendation # 4: The signatories of the ASO MoU should consider updates to the MoU including i) the addition of AFRINIC as a signatory, ii) the removal of Appendix B. iii) updates in connection with the responsibilities of the ASO as a Decisional Participant in the ICANN Empowered Community.

DEFERRED - This recommendation is a task that must be done jointly with ICANN and therefore should be taken up after the ASO Future Structure community consultation has been completed.

Recommendation # 5: Upon completion of every independent review of the ASO, the NRO and ICANN should initiate discussions, as per Article 9 of the MoU, to examine results and consequences of their cooperation. The parties should determine if the ASO has a continuing purpose within the ICANN structure, and re-evaluate the MoU accordingly.

IN PROGRESS - It does not appear that any specific activity must be initiated for this recommendation, other than to include this step in procedural changes in recommendation #3 above.

Recommendation # 6: The ASO AC should ensure that procedures are developed for Steps 12, 15 and 16 of the GPDP as described in Attachment A of the ASO MoU.

IN PROGRESS.

JC noted that this is underway. The ASO AC is currently clarifying which of its procedures comport with the global policy development process and which would need to be undertaken separately (Step 12). Step 15 of the global policy review process states: "*If the resubmitted proposed policy is rejected for a second time by ICANN, then the RIRs or ICANN shall refer the matter to mediation using an agreed procedure to resolve the matter.*" This is out of scope for the ASO AC and should be taken up by the NRO EC. Step 16 does not need to be addressed.

Recommendation # 7: The ASO should consider the adoption of a single, authoritative description of the GPDP for global numbering policies. The same description of the GPDP should appear in Attachment A of the ASO MoU and the relevant section of the Operating Procedures of the ASO AC (Currently Section 6).

IN PROGRESS.

JC explained that the independent reviewers, ITEMS International, believed that the documents are conflicting. The ASO AC does not believe there is any conflict and is already refining its procedures to be clearer.

NEW ACTION ITEM 20180628-5: JC to confirm that the ASO AC is working on Recommendation #7 [ASO Review Rec #7].

Recommendation # 8: With a view to increasing awareness regarding the mission, main operations, and separation of roles between the ASO AC and the NRO EC within the ASO, the NRO should consider the use of more infographics on its website.

IN PROGRESS.

NEW ACTION ITEM 20180628-6: PW to refer Recommendation #8, increasing the use of infographics on the NRO website, to the CCG [ASO Review Rec #8].

Recommendation # 9: The ASO AC should implement term limits for the positions of Chair and Vice-Chair.

IN PROGRESS - Work is underway in the ASO AC on this recommendation.

Recommendation # 10: The ASO AC should ensure that the duties of the Address Council Chair and the Address Council Vice-Chairs need to be added to the ASO AC Operating Procedures. IN PROGRESS - Work is underway in the ASO AC on this recommendation
Recommendation # 11: The ASO AC should ensure that its internal procedure for the removal of an ICANN Board Director is consistent with Section 7.11 of the New ICANN Bylaws. IN PROGRESS - Work is underway in the ASO AC as part of their development of their procedures for use of empowered community powers.
Recommendation # 12: The ASO should establish the NRO Executive Secretary as the ASO Point of Contact (PoC). The ASO AC should establish procedures for forwarding communications to appropriate parties within the ASO. IN PROGRESS. JC noted that, while the NRO EC did not agree that that NRO Executive Secretary should be the ASO POC, an overview of who to contact for various common tasks (e.g. ICANN Director appointment, Empowered Community requests, ASO administrative, etc.) is necessary. **NEW ACTION ITEM 20180628-7: GV/SG: Create pages on ASO and NRO websites with clear instructions on who to contact for what activity/task [ASO Review Rec #12].**
Recommendation # 13: The ASO MoU should be updated to reflect the new reality of the Empowered Community and specify that the roles and responsibilities within the ASO must be clearly defined. CLOSED: The ASO Procedures for Empowered Community Powers have already been adopted.
Recommendation # 14: The ASO AC should either confirm that the designated representative of the ASO on the Empowered Community Administration will be the Chair of the NRO EC, or develop a procedure for appointing another representative. CLOSED - The NRO confirmed that the NRO Secretary is the the Designated Representative of the ASO with the adoption of its "Procedures for Use of Empowered Community Powers".
Recommendation # 15: ASO AC meetings should be open to the public, except for discussions regarding the selection of individuals for ICANN roles. IN PROGRESS - Work in underway in the ASO AC on this recommendation.
Recommendation # 16: For its internal communications, and for most matters related to the operations of the ASO, the ASO should favour the use of a publicly archived mailing list. In exceptional circumstances, for issues (e.g. Board appointments) that cannot be discussed in public, a non-publicly archived list should be used. IN PROGRESS - Work in underway in the ASO AC on this recommendation.

> *Recommendation # 17: In the interests of transparency, the ASO website should be updated with recent presentations, contact details and an archive of the activities of both the ASO AC and NRO EC.*
>
> IN PROGRESS.
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> **NEW ACTION ITEM 20180628-8: PW to refer Recommendation #17, updating the ASO AC website with recent presentations, contact details and an archive of the activities of both the ASO AC and NRO EC, to the CCG. [ASO Review Rec #17]**
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> **Recommendation # 18: The NRO should initiate a public consultation, involving the five RIR communities, to determine the future structure of the ASO.**
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> *IN PROGRESS - The community consultation has been initiated.*

NEW ACTION ITEM 20180628-9: JC to revise the ASO Review Report Recommendation Implementation Status document and update accordingly [ASO Review].

7. Framework for Personal Data in the Internet Numbers Registry System

JC explained that GDPR has highlighted concerns about personal data. RIPE NCC has been working on these concerns for some time and has published clear documentation about how personal data needs to be handled in its database. He continued that ARIN has published a personal data policy that is similar to this document.

JC added that the arguments noted in these two documents are common arguments for all RIRs: the IP whois database is different to the DNS whois database. The IP whois database it not used for personal domain names; operators around the world use it for operational purposes. He suggested that a common RIR framework should be formulated by the NRO and had circulated a draft framework for comment and consideration.

There was agreement that a common framework should be formulated.

OR noted that he agreed in principal but that the draft framework might be too long. The final document would be a living document for each RIR and therefore might diverge from the original text so the shorter and more specific the document is, the better.

PW asked whether such a document would need to be approved by the RIR Boards.

JC noted that as the document refers to operational process rather than policy it most likely would not need to be approved by the RIR Boards.

PW suggested that the NRO EC should comment on the draft framework and that the draft should then be sent to the RIR legal teams for review.

NEW ACTION ITEM 20180628-10: All to review and comment on the draft Framework for Personal Data in the Internet Numbers Registry System

8. Emergency Backup Operations for RIRs

PW explained that the ECG had discussed this in its meeting and that each RIR would report back on the key operations it believed should be included in an emergency back up. He added that he had told the APNIC ECG representatives that they should list the critical services that need to be backed up in priority order. He concluded that the ECG rather than the NRO EC should determine the scope and timing for this project.

There was agreement.

NEW ACTION ITEM 20180628-11: All to reiterate the commitment to the Emergency Backup Operations for RIRs project to their respective engineering teams.

9. NTIA Notice of Inquiry

PW noted that he was drafting a response to the NTIA Notice of Inquiry on International Internet Policy Priorities and would circulate for comment shortly. It was noted that the deadline had been extended to 17 July 2018.

10. Technical Community appointments to the MAG

PW explained that a note had been sent the Chair of the MAG regarding concerns that some of the Technical Community appointments to the MAG where not actually part of the technical community. A response had been received.

JC noted that what constitutes a member of the technical community is somewhat vague.

AB suggested that a formal request for the MAG to look further into this issue could be submitted. A direct request might enable this issue to be discussed publicly.

NEW ACTION ITEM 20180628-12: PW to draft formal request to the MAG to look into the issue of Technical Community appointments to the IGF MAG.

11. CG Reports / Consultations

a) CCG

PW gave an overview of the CCG's activities:

- Coordinating a booth for the IGF 2018: no NRO sessions to be organized.
- No CCG meeting will be held prior to the IGF.
- NRO website redesign is in progress and a preview was circulated by the Secretariat for comment.
- The PowerPoint redesign project is underway.
- Work on out of region route objects is continuing.
- ARIN's request for comparative memberships statistics is in progress.
- Assistance with ASO review consultation activities.
- Actions on the ITHI project are on-going.
- RPKI support is continuing.

b) ECG

PW gave an overview of the ECG's activities:

- GitHub been set up.
- Delegated extended statistics reporting is in progress.
- RPKI is in progress
- Validation reconsidered is in progress: APNIC's George Michaelson will take on this project as of July.
- ITHI reporting is in progress.
- RDAP alignment is in progress
- The Emergency backend registry project is in progress.
- The ECG will hold meetings during the IETF meetings in Montreal and Bangkok.

c) RSCG

PW gave an overview of the RSCG's activities:

- ITHI is in progress: making sure all RIRs are interpreting the common metrics in the same manner and preparing for implementation in Q4. Publication will commence in 2019.
- Whois accuracy: the comparison document will be completed by the end of Q3 and will be a maintained matrix style document.
- Inter-RIR transfer procedures: a best practices document will be published shortly.
- Work on the PowerPoint redesign project is in progress.
- RDAP Consistency project: awaiting input from ECG.
- RPKI: awaiting input from ECG.
- A second F2F Meeting, hosted by APNIC, has been requested.

NEW ACTION ITEM 20180628-13: PW to ask RSCG to provide specific objectives for the proposed RSCG F2F meeting.

12. Review Open Actions

ACTION ITEM 20180515-1: ALL to review the ASO Consultations page on the NRO website and inform the Secretariat of any missing information.	IN PROGRESS
ACTION ITEM 20180515-6: AP to release the funds for the NRO's US$ 75,000 contribution to the IGF Secretariat as per Resolution R-20180515-1.	IN PROGRESS

ACTION ITEM 20180515-7: AP to initiate discussion on how to communicate on database accuracy versus registry accuracy. AP explained that he had sent a note regarding this to the NRO EC noting the distinction between the terms *registry* and *database*. He explained that the *registry* is filled with pertinent data, by RIR staff, based on validated information produced by members as they apply for number resources. The public RIPE *Database* contains a superset of that information, a lot of which is directly entered by members, without initial validation. He added that the RIPE NCC had held discussions on the quality of the data in the database and had even held a presentation for the Board to further clarify that, when the term *registry* is used, it's the part that the RIPE NCC maintains and it can say with clarity that its data is accurate, if indeed it is. When the term *database* is used, it means the entire thing. He suggested the CCG be tasked with a project to come up with terminology for the NRO/all five RIRs to use. JC noted that this is not a simple project as there will need to be agreed upon terminology across the RIRs as well as instructional materials. He added that, in the case of the ITHI project, is the database or the registry being measured? For the proposed Framework for Personal Data, is the registry or the database being referred to? **NEW ACTION ITEM 20180628-14: PW to ask the CCG to come up with terminology/definitions and guidelines on how to refer to/use the terms Registry and Database.**	CLOSED
20180316-11: ALL to review and subsequently approve the NRO expense report and the 2017 NRO expense distribution formula. GV explained that the NRO expenses 2017 were approved during the F2F meeting in Puerto Rico in March 2018. The distribution formula is based on the Registration Services revenues: all the figures from the RIRs had been received. The next step is to enter these figures into the formula and get final confirmation on the output from the CFOs. AB noted that there was an error in Nick Ashton-Hart's name that needed to be corrected. He also added that it had previously been agreed by the NRO EC to split the NRO's US$ 823,000 contribution to ICANN into two line items: one line item for the US$ 650,000 contribution to ICANN as called for in the IANA Numbering Services SLA and one line item for the voluntary US$173,000 contribution for on-going ICANN activities.	IN PROGRESS
20180316-22: JC to add the Joint Response to the ASO Review to the wiki and annotate with current statuses and pending actions.	IN PROGRESS

Note: ARIN representatives left the meeting at 13:59 (EST)

13. Minutes Review

a) April 2018

The minutes were approved.

b) May 2018

Awaiting approval from LACNIC.

14. Next Meetings

a) Teleconference Tuesday 17 July

b) Teleconference Tuesday 21 August

c) Teleconference Tuesday 18 September

d) F2f Meeting Friday 19 & Saturday 20 October 2018 Amsterdam (Right before ICANN 63)

AP noted that he was no longer available on 20 October.

PW noted that the meeting on Friday 19 October should still go ahead and asked GV to coordinate a date/time during the ICANN 63 Meeting the following week to continue the meeting.

NEW ACTION ITEM 20180628-15: GV to send a Doodle Poll to find a time for an NRO EC meeting during ICANN 63.

15. AOB

There were no AOB items.

16. Adjourn

The meeting was adjourned at 14.03 (EST).